



One Financial Markets is the trading name of C B Financial Services Ltd, a company registered in England with company number 6050593.

C B Financial Services Ltd is authorised and regulated by the Financial Conduct Authority in the UK (under firm reference number 466201) and the Financial Services Board in South Africa (with FSP number 45784).

## INDIVIDUAL ACCOUNT APPLICATION

Before completing the following form it is essential that you have read and understood all the terms and conditions concerned with your One Financial Markets trading account as detailed in the Client Agreement (including Terms and Conditions, Best Execution Policy and Risk Warning Notice).

We will use the information provided in this form to assess your suitability to open an account. For this reason it is essential that all the information provided is entirely accurate and if, at a future date, any circumstances adversely affect this information you are required to notify us in writing to advise us of these details.

Please type or print clearly using block letters and ensure you initial each page. Incomplete applications will not be approved.

For joint accounts, each applicant should complete a separate form.

For any questions related to the completion of this form, the terms and conditions or the required supporting documents please contact Client Services on [clientservices@ofmarkets.com](mailto:clientservices@ofmarkets.com) or tel: +44 207 534 0950

## SUPPORTING DOCUMENTATION

All application forms must be accompanied by proof of identity and proof of residential address:

- a copy of your passport, national ID card or photocard drivers licence; and
- a recent bank statement or utility bill

Please ensure the copies are clear, in colour and include both the front and back of cards if applicable.

Where necessary, please ensure the copies are certified.

For more information about what is required and what documents are acceptable please see our website or contact Client Services.

## ACCOUNT INFORMATION

What account type do you require?  Standard  ECN

Please select your account currency:  USD  EUR  GBP

Will any other person trade on your behalf?  Yes  No

If yes, please confirm name:

How did you hear about us?

Magazine (please specify)  Seminar  Online Ad  Search Engine (please specify)

Friend (please specify)  Newspaper  Other (please specify)  Introducer (please specify)

Please specify:

What are your investment objectives?  Speculation  Hedge against other investments

## CLIENT PORTAL

The portal is an easy to use personal account management tool where you are able to deposit, transfer or withdraw funds, open additional trading accounts, manage your personal details and more.

## PERSONAL DETAILS

Please ensure you state your name exactly as it appears on your passport or other photo ID document.

Title:	<input type="text"/>	Gender:	<input type="checkbox"/> M	<input type="checkbox"/> F
First name:	<input type="text"/>			
Middle name(s):	<input type="text"/>			
Surname:	<input type="text"/>			
Date of birth (dd/mm/yyyy):	<input type="text"/>	Nationality:	<input type="text"/>	
Email address:	<input type="text"/>			
Passport/ID card number:	<input type="text"/>			

## ADDRESS DETAILS

House/flat name or number:	<input type="text"/>			
Street name:	<input type="text"/>			
Town:	<input type="text"/>			
City:	<input type="text"/>			
Postcode:	<input type="text"/>	Country:	<input type="text"/>	
Mobile telephone:	<input type="text"/>	Landline telephone:	<input type="text"/>	

If you have lived here for less than 3 years please complete the Previous Address details below:

House/flat name or number:	<input type="text"/>			
Street name:	<input type="text"/>			
Town:	<input type="text"/>			
City:	<input type="text"/>			
Postcode:	<input type="text"/>	Country:	<input type="text"/>	

If your mailing address is not the same as your postal address please complete the Mailing Address details below:

PO Box number:	<input type="text"/>			
City:	<input type="text"/>			
Country:	<input type="text"/>			

## EMPLOYMENT & FINANCIAL INFORMATION

We request details about your financial situation as part of our regulatory duty to assess that the proposed account is suitable for you and we do not pass any of this information to any third party.

Employment status: (please tick one)

Employed:

Industry:

Company name:

Position:

Self employed:

Industry:

Company name:

Retired:

Previous industry:

Position held:

Student:

Educational institution:

Unemployed

Approximate annual income (from all sources) (USD or equivalent):

Approximate value of savings and investments excluding private residence (USD or equivalent):

Approximate value of funds available for trading per annum (USD or equivalent):

Please indicate the source(s) of your funds for trading: (please tick all that apply)

Employment/self employment  Temporary or part time employment  Inheritance  Family/partner income

Savings  Pension  Property income  Investments  Benefits or borrowing

Other (please specify):

Expected initial deposit (USD or equivalent):

## BANK DETAILS

Please note that bank details are required to verify future fund withdrawals and must be an account held in your name.

Bank name:

Account number:

Account holder name:

## POLITICALLY EXPOSED PERSON (PEP)

A Politically Exposed Person is an individual who is or has at any time been entrusted with **prominent public functions** and an **immediate family member**, or known **close associate of such a person**.

A - Prominent public functions include:

- Heads of State, heads of government, ministers and deputy or assistant ministers;
- Members of parliaments;
- Members of supreme courts, of constitutional courts or of other high-level judicial bodies whose decisions are not generally subject to further appeal, except in exceptional circumstances;
- Members of courts of auditors or of the boards of central banks;
- Ambassadors, chargés d'affaires and high-ranking officers in the armed forces; and
- Members of the administrative, management or supervisory boards of State-owned enterprises.

Please note that the categories above do not include middle-ranking or more junior officials.

B - (immediate family members of such persons as set out under part A are also Politically Exposed Persons, this includes:)

- A spouse;
- A partner (including a person who is considered by his national law as equivalent to a spouse);
- Children and their spouses or partners; and parents.

**(Close associates of such persons as set out under part A are also Politically Exposed Persons, this includes:)**

- Any individual who is known to have joint beneficial ownership of a legal entity or legal arrangement, or any other close business relations, with a person as stated in part A; and
- Any individual who has sole beneficial ownership of a legal entity or legal arrangement which is known to have been set up for the benefit of a person as stated in part A.

Please tick to confirm:

I have read and understood the definition provided and can confirm I am NOT a politically exposed person

I have read and understood the definition provided and can confirm I am a politically exposed person

## PREVIOUS TRADING EXPERIENCE

Have you traded CFDs or margined FX using a demo account:  Yes  No

What, if any, products have you traded in a live environment (where you made the final trade decision) in the last 12 months?

	Never	Rarely (Less than once a month)	Sometimes (More than 3 times a month)	Often (More than 10 times a month)
Foreign Exchange (spot, forward or bullion)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Futures, CFDs or Spreadbets	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Shares or Bonds	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

## MARKET KNOWLEDGE & AWARENESS

Do you have any current/ former employment, professional experience or qualifications which would assist your understanding of CFDs and FX and the risks of trading leveraged products?

- Yes, I have worked for at least 2 years in a directly relevant role within the financial services industry
- Yes, I have a good understanding of the risks of leveraged products as I have attended educational seminar(s) or completed other professional training relating to CFDs and/or FX trading
- Yes, I hold a diploma or higher level academic qualification in a relevant field
- No, I do not have any relevant current or previous employment or qualifications

Do you understand the nature and risk of trading margined/leveraged products?  Yes  No

Do you understand that you can lose more than your initial deposit or margin payment?  Yes  No

Are you aware that you must maintain a minimum level of margin in your account to cover any margin requirements?  Yes  No

Do you understand that we are your counterparty and that your positions are not taken with an exchange?  Yes  No

Do you understand that volatile market conditions may impact our ability to generate prices or to execute your orders?  Yes  No

## FATCA - THE FOREIGN ACCOUNT TAX COMPLIANCE ACT

FATCA is a US law that means UK financial institutions must collect information on US tax residents using foreign accounts.

Are you a citizen of the United States of America?  Yes  No

Tax identification number (if applicable):

## DECLARATIONS

Please tick to confirm:

- I have read, understood and accept in full the Client Agreement (including Terms and Conditions, Best Execution Policy and Risk Warning (as amended from time to time).
- I understand and accept that my orders will be executed in accordance with the Order Execution Policy (as amended from time to time).

By submitting this application form I confirm that:

- I have access to the internet and consent to One Financial Markets providing me with information about changes to the Client Agreement and/or Order Execution Policy by posting such information on the Company website [www.onefinancialmarkets.com](http://www.onefinancialmarkets.com)
- I understand that CFDs and margined FX are leveraged products and am sure they are suitable for me; I have been advised of my ability to seek independent financial advice.
- I am over 18 and confirm that the information represented here is true and accurate and not misleading in any respect. I agree to inform One Financial Markets promptly in writing should any of the information provided here cease to be correct.

Signature:

Full name:

Date: