

ONE | FINANCIAL MARKETS

Corporate Account Application

015.V8.01.07.2013



CORPORATE ACCOUNT APPLICATION

Please complete all sections of this form. When completed, this application will form part of your Customer Agreement with One Financial Markets. Before completing the following form it is essential that you have read and understood all the terms and conditions concerned with your One Financial Markets trading account as detailed in the Client Agreement (Terms & Conditions, Schedule and Client Notice).

One Financial Markets will use the information gained from this application form to assess your suitability to open an account. For this reason it is essential that all the information provided is entirely accurate and if, at a future date, any circumstances adversely affect this information you are required to write to One Financial Markets advising us of these details.

For any questions related to the completion of this form, the terms and conditions or the required supporting documents please contact us on 0044 (0) 207 534 0950.

SUPPORTING DOCUMENTATION

You may submit supporting documents via Email, Post or Fax. All application forms must be accompanied by the following documents:

Legal Structure/Company Documents:

- Certified copy* of Certificate of Incorporation (or local equivalent)
- Copy of Memorandum of Association (or local equivalent)
- Copy of Articles of Association (or local equivalent)

Ownership/Control:

- List of all officer(s), director(s) and shareholder(s) (including percentage holding) on company letterhead
- Board Resolution approving account with One Financial Markets (template can be provided on request)
- List detailing the level of authorisations for each individual signatory on company letterhead
- Individual ID for each director and authorised person named above
- Individual ID for majority shareholder(s) and anyone with >25% holding

Individual ID:

- Certified copy* of a valid passport, National ID card or photocard drivers licence
- Certified copy* of a recent (no more than 4 months old) utility bill or bank statement stating your name and residential address

*Certification may be carried out by a lawyer, Notary Public, Consulate or Embassy official and be stamped as "true copy of the original". All copies must be clear and certification must be legible, dated and signed with the full name and address of the certifier included.

- (i) If majority shareholder(s) is a corporate entity, full corporate ID is required
- (ii) Original documents must be received in London within 14 days of acceptable fax submissions unless otherwise agreed in advance
- (iii) English translations must be provided where applicable and translations also certified
- (iv) Depending on the company structure, One Financial Markets reserves the right to request additional information

Initials: _____

COMPANY INFORMATION

Registered Name of the Company:	<input type="text"/>
Trading Name (if different to above):	<input type="text"/>
Registered Number:	<input type="text"/>
Registered Address:	<input type="text"/>
	<input type="text"/>
Mailing Address (if different):	<input type="text"/>
	<input type="text"/>
Email Address:	<input type="text"/>
Telephone Number:	<input type="text"/>
Fax:	<input type="text"/>
Type of Business:	<input type="text"/>
Is the company registered with the FCA or other regulator?	<input type="checkbox"/> Yes <input type="checkbox"/> No
If yes, please give details:	<input type="text"/>
Is the Company or its parent company, listed on any Stock Exchange?	<input type="checkbox"/> Yes <input type="checkbox"/> No
If yes, please give details:	<input type="text"/>

CONTACT DETAILS OF THE PRINCIPAL DIRECTOR/AUTHORISED PERSON

Full Name:	<input type="text"/>
Email Address:	<input type="text"/>
Telephone Number:	<input type="text"/>
Fax:	<input type="text"/>

BANK DETAILS

Name of Bank:	<input type="text"/>
Address of Bank:	<input type="text"/>
Name of account:	<input type="text"/>
Account number:	<input type="text"/>
SWIFT/BIC:	<input type="text"/>
Expected initial deposit size (USD):	<input type="text"/>

Initials: _____

FINANCIAL INFORMATION

Does the Company have:

balance sheet total of EUR 20,000,000; Yes No
net turnover of EUR 40,000,000; Yes No
own funds of EUR 2,000,000; Yes No

Approximate value of investment portfolio:
(including cash deposits and financial instruments)

Approximate funds available for trading:

POLITICALLY EXPOSED PERSON

A Politically Exposed Person is an individual who is or has at any time in the preceding year, been entrusted with prominent public functions and an immediate family member, or known close associate of such a person.

A - Prominent public functions include:

- Heads of State, heads of government, ministers and deputy or assistant ministers; members of parliaments;
- Members of supreme courts, of constitutional courts or of other high-level judicial bodies whose decisions are not generally subject to further appeal, except in exceptional circumstances;
- Members of courts of auditors or of the boards of central banks;
- Ambassadors, chargés d'affaires and high-ranking officers in the armed forces; and
- Members of the administrative, management or supervisory boards of State-owned enterprises.

Please note that the categories above do not include middle-ranking or more junior officials.

B - Immediate family members of such persons as set out under part A are also Politically Exposed Persons, this includes:

- A spouse;
- A partner (including a person who is considered by his national law as equivalent to a spouse);
- Children and their spouses or partners; and parents.

Close associates of such persons as set out under part A are also Politically Exposed Persons, this includes:

- Any individual who is known to have joint beneficial ownership of a legal entity or legal arrangement, or any other close business relations, with a person as stated in part A; and
- Any individual who has sole beneficial ownership of a legal entity or legal arrangement which is known to have been set up for the benefit of a person as stated in part A.

Please tick to confirm:

I declare that none of the shareholders, directors or other officers of the Company are Politically Exposed Persons as defined under A or B.

I declare that the shareholders, directors or other officers of the Company are Politically Exposed Persons as defined under A or B.

Initials: _____

PREVIOUS TRADING EXPERIENCE

Please give a brief description of why the Company wishes to open an account with One Financial Markets, with reference to any previous experience in investment products.

Which of the following has the Company traded in and for how long?

Spot or Forward FX:	<input type="checkbox"/> Yes	<input type="checkbox"/> No	<input type="checkbox"/> Years	<input type="checkbox"/> Months
Commodities:	<input type="checkbox"/> Yes	<input type="checkbox"/> No	<input type="checkbox"/> Years	<input type="checkbox"/> Months
Options and Warrants:	<input type="checkbox"/> Yes	<input type="checkbox"/> No	<input type="checkbox"/> Years	<input type="checkbox"/> Months
Shares:	<input type="checkbox"/> Yes	<input type="checkbox"/> No	<input type="checkbox"/> Years	<input type="checkbox"/> Months
CFDs:	<input type="checkbox"/> Yes	<input type="checkbox"/> No	<input type="checkbox"/> Years	<input type="checkbox"/> Months

On average how often in a month do you trade and what is the average trade size?

	Ave. Trades per Month	Ave. Deal Size (\$)
Spot or Forward FX:	<input style="width: 100%;" type="text"/>	<input style="width: 100%;" type="text"/>
Commodities:	<input style="width: 100%;" type="text"/>	<input style="width: 100%;" type="text"/>
Options and Warrants:	<input style="width: 100%;" type="text"/>	<input style="width: 100%;" type="text"/>
Shares:	<input style="width: 100%;" type="text"/>	<input style="width: 100%;" type="text"/>
CFDs:	<input style="width: 100%;" type="text"/>	<input style="width: 100%;" type="text"/>

Which companies have you dealt with? Was this on an execution only basis?

	Company Name	Execution Only?
Spot or Forward FX:	<input style="width: 100%;" type="text"/>	<input type="checkbox"/> Yes <input type="checkbox"/> No
Commodities:	<input style="width: 100%;" type="text"/>	<input type="checkbox"/> Yes <input type="checkbox"/> No
Options and Warrants:	<input style="width: 100%;" type="text"/>	<input type="checkbox"/> Yes <input type="checkbox"/> No
Shares:	<input style="width: 100%;" type="text"/>	<input type="checkbox"/> Yes <input type="checkbox"/> No
CFDs:	<input style="width: 100%;" type="text"/>	<input type="checkbox"/> Yes <input type="checkbox"/> No

What are your investment objectives? (Please tick one or more)

Speculation
 Hedge against other investments

When did you last trade? (dd/mm/yyyy)

Do the officer(s) of the Company who will be making the Company's trading decisions have any particular current/former employment or professional experience/qualifications which would assist the Company's understanding of our services? If so, please describe below.

Initials: _____

ADDITIONAL INFORMATION

How did you hear about us? Magazine (specify below) Friend (specify below) Online Ad
 Search Engine (specify below) Newspaper Seminar
 Other (specify here)

Introducer (if applicable):

Promotional Code (if applicable):

Please choose your platform: ONE | XTS DMA MT4 DMA Forex
(ONE | MT4, ONE | Web, ONE | Mobile)

If you have chosen the ONE | XTS platform, please select your account currency: USD GBP EUR

MARKET AWARENESS

Does the Company understand the principles of margin trading and the risks involved in trading a leveraged financial product, in particular that it may lose more than the initial investment? Does the Company agree to accept these risks? Yes No

Is the Company aware that it must maintain a minimum level of margin in the account to cover any margin requirements? Yes No

Does the Company understand the term 'Mark to Market' and that the account profit and loss will be debited or credited on a daily basis? Yes No

Does the Company understand the concept of bid/offer? Yes No

Is the Company aware that it can short sell the market to benefit from down price trends? Yes No

Is the Company aware that you can place Stop Loss orders to limit the losses? Yes No

CFD Knowledge
Does the Company understand there is no physical delivery of CFDs? Yes No

Does the Company understand that One Financial Markets is its counterparty and that its positions are taken against One Financial Markets and not with an exchange? Yes No

Does the Company understand that all CFDs are rolled over at the end of each day? Yes No

Is the Company aware that upon expiry of its commodity position it will be rolled into the next future month? Yes No

Is the Company aware that upon expiry any pending orders on commodities will NOT be rolled over to the next future month by One Financial Markets? Yes No

Is the Company aware that corporate events are handled differently when trading CFDs than when trading physical shares? Yes No

FX Knowledge
Does the Company understand how currency pairs are quoted? Yes No

Is the Company aware that One Financial Markets quotes FX in fixed contract sizes? Yes No

Is the Company aware that its positions will be rolled over at the end of each trading day? Yes No

Initials: _____

IMPORTANT INFORMATION

We rely solely on the information provided here in assessing your customer classification. It is therefore essential that if any of these details should change, you inform us in writing immediately so that we can reassess your classification.

Please post all documents to:
Account Opening
One Financial Markets
20 Savile Row W1S 3PR
United Kingdom

For fast processing please return the completed form and supporting documentation by fax to 0044 (0) 207 434 4367 or scan your documents to accountopening@onecf.com.

Please note that if you send your application via email or fax you still need to submit the original forms within 14 days by standard mail.

ACCURACY OF INFORMATION & CONSENT

Please tick to confirm:

- I/we, being duly authorised officer(s) of the Company confirm that the information represented here is true and accurate.
- I/we agree to inform you promptly in writing should any of the information contained here cease to be correct.
- The Company has read, understood and accepts in full One Financial Market's Client Agreement (Terms & Conditions, Schedule and Client Notice).
- I/we are sure that CFD and FX trading is suitable for the Company and I/we have been advised of our ability to seek independent financial advice if necessary.

Full Name:

Position:

Date (dd/mm/yyyy):

Signature: _____

Full Name:

Position:

Date (dd/mm/yyyy):

Signature: _____

One Financial Markets is an execution-only market-maker in financial derivatives, in particular Contracts for Difference (CFDs) on commodities, shares and stock indices, as well as margined Foreign Exchange. CFDs and margined Foreign Exchange are leveraged products which carry a high degree of risk which may result in you losing more than your initial deposit. Trading CFDs may not be suitable for all investors and you should fully understand the risks involved before opening an account.

The information given in One Financial Markets' brochures is not directed at or to be distributed to any persons domiciled in the United States or any other jurisdiction where its distribution or the services described herein may be contrary to local laws or regulations. You shall be responsible for identifying the requirements of your local laws and regulations and to ensure you are not in breach thereof. One Financial Markets is a trading name of CB Financial Services Ltd (CBFS). CBFS is authorised and regulated by the Financial Conduct Authority under company number 466201. CBFS is a company registered in England at 20 Savile Row, London, W1S 3PR under Company Reg. Number 6050593.

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